

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Eliyahou Harari et al.

Title:

Multi-State Non-Volatile Integrated Circuit Memory Systems That Employ

Dielectric Storage Elements

Application No.:

10/002,696

Filing Date:

October 31, 2001

Examiner:

Weiss, Howard

Group Art Unit:

2814

Docket No.:

SNDK.272US0

Conf. No.:

4652

Certificate of Mailing Under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on December 18,

Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant(s) call(s) the documents listed on the enclosed Form PTO-1449 to the Examiner's attention in this patent application.

Copies of the documents listed on the accompanying Form PTO-1449 are enclosed.

Citation of these documents shall not be construed as (1) an admission that the documents are prior art with respect to the invention or inventions claimed in this application, (2) a representation that a search has been made (other than as indicated by any cited document), or (3) an admission that the cited information is, or is considered to be, material to patentability as defined in § 1.56(b).

01/02/2004 CCHAU1 02 FC:1806

00000130 502664 10002696

180.00 DA

Attorney Docket No.: SNDK.272US0

Application No.: 10/002,696

This information disclosure statement is submitted under 37 C.F.R. § 1.97(c). A check including \$180.00 for the information disclosure statement fee under 37 C.F.R. § 1.17(p), is enclosed. The Commissioner is authorized, however, to charge any fee that may be required, or to credit any overpayment, against Deposit Account No. 502664.

Respectfully submitted,

Gerald P. Parsons

December 18, 2003

Date

Gerald P. Parsons Reg. No. 24,486

PARSONS HSUE & DE RUNTZ LLP 655 Montgomery Street, Suite 1800 San Francisco, CA 94111

(415) 318-1160 (main)

(415) 318-1163 (direct)

(415) 693-0194 (fax)

The PTO did not receive the following listed item(s) Alleck For # 180.00



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Eliyahou Harari, George Samachisa, Jack H. Yuan and Daniel G.

Guterman

Assignee:

SanDisk Corporation

Title:

Multi-State Non-Volatile Integrated Circuit Memory Systems That

Employ Dielectric Storage Elements

Application No.:

10/002,696

Filing Date:

October 31, 2001

Examiner:

Weiss, Howard

Group Art Unit:

2814

Docket No.:

SNDK.272US0

Conf. No.:

4652

(formerly M-12336 US)

Certificate of Mailing Under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope address to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on May 12, 2003

Attn: Art Unit 2814

Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(c) WITH FEE

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, Applicants wish to call the following documents (copies enclosed) to the attention of the Examiner for the above-identified patent application.

A form PTO-1449 listing these documents is enclosed.

Citation of the above documents shall not be construed as:

1. an admission that the documents are necessarily prior art with respect to the instant invention;

Attorney Docket No.: SNDK.272US0

Application No.: 10/002,696

- 2. a representation that a search has been made, a representation that a search has been made, (other than as indicated by any cited document); or
- 3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

A check for \$180.00 for the Information Disclosure Statement fee under 37 C.F.R. § 1.17(p), is enclosed as set forth in the accompanying transmittal letter. The Commissioner is hereby authorized to charge any additional fees, which may be required, or credit any overpayment to Deposit Account No. 502664. This paper is being submitted in duplicate.

Respectfully submitted,

200 - 110 D

May 12, 2003

Date

Gerald P. Parsons

Attorney for Applicants

Reg. No. 24,486

PARSONS HSUE & DE RUNTZ LLP 655 Montgomery Street, Suite 1800 San Francisco, CA 94111 (415) 318-1160 (415) 693-0194 fax

Attorney Docket No.: SNDK.272US0

Application No.: 10/002,696

Sheet 1 of 1

											neet 1	
U.S. Department of Commerce, Patent and Trademark					A	Atty. Docket No.				Applica	Application N	
INFORMATION DISCLOSURE STATEMENT BY					S	SNDK.272US0				10/002,	696	
APPLICANT						Applicants						
(Use several sheets if necessary)						Eliyahou Harari et al.						
COPY					Ė	iling Date	-			Group		
					0	October 31, 2001				2814		
				U.S. P	atent	Documents						
*Examiner Initial		Document Number	Date		Name		Class		Subclass	Filing Date If Appropriat		
	1	6,215,702 B1	Apr. 10, 2001		Derhacobian et al.							
	2	6,266,281 B1	Jul. 24, 2001		Derhacobian et al.						i.	
	3	6,331,952 B1	Dec. 18, 2001		Wang et al.							
	4	6,331,953 B1	Dec. 18, 2001 Aug. 20, 2002 Sep. 24, 2002		Wang et al. Yang et al. Chen					·		
	5	6,436,768 B1										
	6	6,456,528 B1								 		
	7	6,472,706 B2	Oc	t. 29, 2002	Wid	Widdershoven et al.			λ.	:		
	8	6,487,121 B1	Nov. 26, 2002.		Thurgate et al.							
	9	6,493,266 B1	Dec. 10, 2002		Yachareni et al.		1.				٠.	
		U.	S. P	ublished Pat	ent A	pplication Docum	ents					
Examiner Initial		Document Number		Date		Name	Class		Subclass	Filing Date If Appropriate		
	10	US2001/0021126 A1		Sep. 13, 2001		Lavi et al.						
	11	US2001/0055838 A	41			Walker et al.	n					
	12	US2002/0064911 A	41			Eitan				<u> </u>		
	13	US2002/0105023 A	02/0105023 A1		002	Kuo et al.				-		
	14	US2002/0118574 A1 US2003/0057435 A1		Aug. 29, 20		Gongwer et al.						
	15			Mar. 27, 20	003	3 Walker						
				Foreign P	aten	Documents						
										Tran	slatio	
		Document		Date		Country	Class		Subclass	Yes	No	
	16	WO03/015173 A2	20 Feb. 2003		WIPO					X		
		OTHER ART	(Inc	cluding Auth	ior, I	litle, Date, Pertine	nt Pa	ges, Et	:.)	0	<u> </u>	
		-							<u> </u>		J	
T1000												
xaminer			Date	Considered								

U.S. Das	ment of	Commerce, Patent a	and Trademark	Atty. Docket No.	Application No.				
MADE ORMA	TION D	OISCLOSURE STA	TEMENT BY	SNDK.272US0	10/002,696				
•		APPLICANT		Applicants	Conf. No.				
(Use seve	eral sheets if necessa	ary)	Eliyahou Harari et a	4652				
				Filing Date	Filing Date				
				October 31, 2001	2814				
			U.S. Pa	atent Documents			,		
*Examiner		Document	~	Filing Date					
Initial	<u> </u>	Number	Date	Name	Class	Subclass	If Appropriate		
	1	6,456,528	Sep. 24, 2002	Chen					
					·				
	-	·				-			
		·				:	 		
						•			
•	•		<u></u>	· .			<u> </u>		
	.		J.S. Published Pa	tent Application Docu	iments				
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate		
	2	02/0118574 A1	Aug. 29, 2002	Gongwer et al.					
		,							
		-	Foreign	Patent Documents .					
		•	•				Tran	slation	
		Document	Date	Country	Class	Subclass	Yes	No	
<u> </u>									
	<u>.</u>	OTHER AR	I T (Including Au	thor, Title, Date, Pert	inent Pages, I	 Etc.)	1		
	3			nternational Search Rep			esponding PC	т	
	,			International Searching					
•					¥				
				0.					
	ļ	<u> </u>							
Examiner	<u> </u>	<u> </u>	Date Considere	d					
	Initial i	f reference consider	-L	ot citation is in conform	nance with MP	EP 609 Draw	line through		
				opy of this form with y					



RECEIVED

MAY 2 2 2003



ATTN: ART UNIT 2814 COMMISSIONER FOR PATENTS P.O. BOX 1450 ALEXANDRIA, VA 22313-1450

Applicants: Assignee: Title:

Eliyahou Harari, George Samachisa, Jack H. Yuan and Daniel C. Guterman SanDisk Corporation

SanDisk Corporation

Multi-State Non-Volatile Integrated Circuit Men Storage Elements That Employ Dielectric

Application No.: Filing Date:

October 31, 2001

Conf. No.: Atty Docket No.:

4652 🦿 SNDK.272US0 (formerly M-12336 US)

ENCLOSED:

This Return Receipt Postcard

Information Disclosure Statement Under 37 CFR §1.97(c) With Fee (2 pages - in duplicate)

PTO Form 1449 (1 page)

4. Check for \$180.00

5. 16 c:+ed references

GPP/meb

Via First Class Mail

Due Date:

N/A

Mailing Date: May 12, 2003